

Attorney Docket No. 56924 (70551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Yuji SAWADA
SERIAL NO: Unassigned EXAMINER: Unassigned
FILED: Herewith GROUP ART NO: Unassigned
FOR: **ELECTRONIC BOOK CONTENTS RECORDING MEDIUM
WITH ELECTRONIC BOOK CONTENTS THEREON,
ELECTRONIC BOOK TRANSMISSION APPARATUS AND
ELECTRONIC BOOK DISPLAY APPARATUS**

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, No. EL 933049955 US, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on February 8, 2002.

By

Carren L. Munde
Carren L. Munde

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Date: February 8, 2002

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. ☒ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

- a. ☒ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. ☒ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:

See English-language Abstracts for documents BA-BD, BF, and CC. See partial translation for document CB.

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)

(check one box)

- a. ☒ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):

(check one box)

- ☐ before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

a. ☐ No certification; therefore, a fee in the amount of _____ is required by 37 C.F.R. § 1.17(p).

or

b. ☐ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

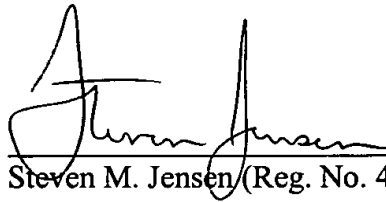
☐ Please charge Deposit Account No. 04-1105 in the amount of \$ _____ for the above-indicated fee. A triplicate copy of this paper is attached.

☒ No fee is required.

☐ Fee enclosed, check in the amount of \$180.00.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,



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FORM PTO-1449			DOCKET NO: 56924 (70551)		SERIAL NO.: Unassigned		
INFORMATION DISCLOSURE STATEMENT			APPLICANT(S): Yuji SAWADA				
			FILING DATE: Herewith		GROUP NO. Unassigned		
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	BA	05-67150	03/1993	Japan			Abstract
	BB	07-141386	06/1995	Japan			Abstract
	BC	07-239850	09/1995	Japan			Abstract
	BD	09-26965	01/1997	Japan			Abstract
	BE	EP 758115	02/1997	EPO			
	BF	11-85750	03/1999	Japan			Abstract
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
	CA	HTML 4.01 Specification. W3C Recommendation 24 December 1999, Dave Raggett, Arnaud Le Hors, Ian Jacobs, "13. Objects, Images, and Applets in HTML documents"					
	CB	Ozawa, et al. "Book Metaphor: A Book-Like User Interface for Multimedia Document Viewer" (in Japanese), The Journal of the Institute of Image Electronics Engineers of Japan, Vol. 25, No. 5, 25 October 1996 (25.10.96), pp. 454-463, especially pp. 461-3, supplement 1.					
	CC	Koike, et al, "Proposal of XML-Based Personalized Information Description Language (PIDL)", Proceedings of Symposium on Informatics, 13 January 1999 (13.01.99), pp.49-56, especially p. 50, p. 55 right column, lines 19-33.					
EXAMINER:					DATE:		